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Office of Justice Programs
Bureau of Justice Assistance



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Bureau of Justice Assistance</u> (BJA) is seeking applications to provide technical assistance to the Charles Colson Task Force on Federal Corrections, a nine-person, bipartisan, blue ribbon commission to address challenges in the federal corrections system. This project furthers the Department's mission by using data to create policy that increases public safety, improves offender accountability, reduces recidivism, and controls growth of spending on corrections.

Charles Colson Task Force on Federal Corrections FY 2014 Competitive Grant Announcement

Eligibility

Eligible applicants are limited to nonprofit organizations, for-profit organizations, and colleges and universities, both public and private. For-profit organizations must agree to forgo any profit or management fee.

BJA welcomes applications that involve two or more entities; however, one eligible entity must be the applicant and the others must be proposed as subrecipients. The applicant must be the entity with primary responsibility for conducting and leading the project.

Deadline

Applicants must register with <u>Grants.gov</u> prior to submitting an application. (See "How To Apply," page 16. All applications are due by 11:59 p.m. eastern time on March 12, 2014 (See "Deadlines: Registration and Application," page 4.)

All applicants are encouraged to read this <u>Important Notice: Applying for Grants in</u> Grants.gov.

Contact Information

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800-518-4726 or 606-545-5035, or via e-mail to support@grants.gov. The Grants.gov Support Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

Applicants that experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the BJA contact

identified below within 24 hours after the application deadline and request approval to submit their application.

For assistance with any other requirements of this solicitation, contact Juliene James, Senior Policy Advisor, by telephone at 202-353-9248, or by e-mail at juliene.james@usdoj.gov.

Grants.gov number assigned to this announcement: BJA-2014-3786

Release date: February 12, 2014

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Charles Colson Task Force on Federal Corrections (CFDA #16.751)

Overview

The U.S. Bureau of Prisons (BOP) operates 119 federal prisons to house approximately 174,000 federal offenders. Additionally, BOP contracts with private companies and some state governments to house about another 40,000 inmates. At the end of 2012, 208,000 individuals were held in federal custody in federal and private facilities, up from 133,900 in 2000—an increase of 55 percent.² Between 2000 and 2011, this population increased an average of 3.9 percent per year, with a more modest increase of 1 percent between 2011 and 2012.³ The extent of crowding that has resulted from the population increase varies depending on the level of security (i.e., minimum, low, medium, high, administrative maximum) and type of confinement (e.g., long-term, detention, medical); at the end of January 2014, BOP facilities were over rated capacity by an average of 33 percent, and exceeded capacity by 39% in low security, 43% in medium security, and 50% in high security.

Accordingly, the Consolidated Appropriations Act, 2014 (H.R. 3547; 113th Congress) provides funding for the Department to establish and support the operations of a nine-person, bipartisan, blue ribbon Charles Colson Task Force on Federal Corrections to address challenges in the federal corrections system. 4 This solicitation seeks a technical assistance provider to convene and support the work of the task force.

Deadlines: Registration and Application

Applicants must register with Grants.gov prior to submitting an application. OJP encourages applicants to register several weeks before the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date. The deadline to apply for funding under this announcement is 11:59 p.m. eastern time on March 12, 2014. See "How To Apply" on page 16 for details.

Eligibility

Refer to the title page for eligibility under this program.

Growing Inmate Crowding Negatively Affects Inmates, Staff, and Infrastructure, Government Accountability Office (Sept. 2012).

Correctional Populations in the United States, 2012, Bureau of Justice Statistics (Dec. 2013) www.bjs.gov/content/pub/pdf/cpus12.pdf.

lbid.

⁴ House Report 113-171—Commerce, Justice, Science, and Related Agencies Appropriations Bill, 2014.

Project-Specific Information

Goals, Objectives, and Deliverables

Under this grant announcement, OJP will select one applicant to facilitate the Task Force on Federal Corrections. The primary goal of the selected provider is to convene and support the task force in meeting the objectives and producing the deliverables enumerated by Congress.

The provider will be expected to:

- Identify and convene individuals with recognized and relevant experience in justice reinvestment and corrections reform.
- Support the task force in their work, including assistance with public meetings and the charge of the task force as described below.

The Task Force on Federal Corrections will be expected to:

- Examine drivers of the growth of the BOP population; options to avert continued growth; prison population projections based on both the status quo and various policy options; measures to reduce crowding and its consequences; current efforts to provide prison rehabilitation, employment programs, and reentry programs; and policies to reduce recidivism.
- Undertake a comprehensive analysis of relevant criminal justice data; identify factors driving
 the growth in prison populations; study "lessons learned" from successful state-level justice
 reinvestment initiatives; and evaluate current and potential criminal justice policies, including
 the cost-effectiveness of spending on corrections.
- Develop practical, data-driven policy options to increase public safety, improve offender accountability, reduce recidivism, and control growth of spending on corrections. Such findings should include an assessment of the tradeoffs of adopting various policy options and legislative actions for Congress and the Executive Branch to consider.
- Prepare and submit a report that contains a statement of its findings, conclusions, policy options, and recommendations to the Congress, Attorney General, and President.

DOJ will undertake the following activities under this program:

- Provide guidance in identifying task force members; approve selection of all task force members; and assist in soliciting participation, input, and feedback from other stakeholders.
- Review and approve major work plans, including changes to such plans, and key decisions pertaining to project operations.
- Facilitate access to pertinent information and data maintained by U.S. Government stakeholder agencies, consistent with other federal law.
- Review and approve major project-generated documents and materials used in the provision of project services.
- Provide guidance in significant project planning meetings, task force convenings, and stakeholder meetings.
- Review and approve any proposed publications prior to release or publication.

Definitions

Justice Reinvestment: "Justice Reinvestment" refers to a data-driven approach that: (1) analyzes criminal justice trends to understand what factors are driving the growth in jail and prison populations; (2) develops and implements policy options to manage the growth in corrections expenditures and increase the effectiveness of current spending and investment to increase public safety and improve offender accountability; and (3) measures the impact of the policy changes and reinvestment resources and holds policymakers accountable for projected results.

The Justice Reinvestment Model consists of the following action steps:

- Engagement of stakeholders across branches of government, political parties, and key special interest groups such as victim advocates, prosecutors, and others.
- Analysis of data to identify criminal justice population and cost drivers.
- Development of policy framework that leads to enacted legislation and changes in relevant agency policies.
- Implementation of justice reinvestment legislation through evidence-based programs and strategies to reduce incarceration costs and increase public safety.
- Measurement of implementation of strategy and reinvestment.

Evidence-Based Programs or Practices: OJP strongly emphasizes the use of data and evidence in policy making and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:

- improving the quantity and quality of evidence OJP generates;
- integrating evidence into program, practice, and policy decisions within OJP and the field; and
- improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based. OJP's CrimeSolutions.gov web site is one resource that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

Amount and Length of Awards

BJA anticipates that it will make one cooperative agreement award of up to \$1 million for an 18-month project period.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

Budget Information

Limitation on Use of Award Funds for Employee Compensation; Waiver

With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the award recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2014 salary table for SES employees is available at www.opm.gov/salary-tables. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. An applicant requesting a waiver should include a detailed justification in the budget narrative of the application. Unless the applicant submits a waiver request and justification with the application, the applicant should anticipate that OJP will request the applicant to adjust and resubmit the budget.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

OJP strongly encourages applicants that propose to use award funds for any conference-, meeting-, or training-related activity to review carefully – before submitting an application – the OJP policy and guidance on "conference" approval, planning, and reporting available at www.ojp.gov/funding/confcost.htm. OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most such costs for cooperative agreement recipients and of some such costs for grant recipients; and (3) set cost limits, including a general prohibition of all food and beverage costs.

Costs Associated with Language Assistance (if applicable)

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at www.ojp.usdoj.gov/funding/other requirements.htm.

Match Requirement

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Performance Measures

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111–352, applicants that receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. Post award, recipients will be required to submit quarterly performance metrics through BJA's online Training and Technical Assistance Reporting System (TTARS), located at www.bjatools.org. Applicants should review the BJA training and technical assistance reporting matrix at: ttars.bjatools.org/tta2/view/BJATTARSReportingMatrix.pdf.

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section "What an Application Should Include" on page 8 for additional information.

What an Application Should Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should a decision be made to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that applications that are determined to be nonresponsive to the scope of the solicitation, or that do not include the application elements that BJA has designated to be critical, will neither proceed to peer review nor receive further consideration. Under this solicitation, BJA has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet, Budget Narrative, Position Descriptions for key positions, and Resumes for key personnel. Applicants may combine the Budget Narrative and the Budget Detail Worksheet in one document. However, if an applicant submits only one budget document, it must contain **both** narrative and detail information. Applicants may combine Position Descriptions and Resumes in one document. However, if an applicant submits only one document regarding staffing, it must contain **both** information on position descriptions and resumes summarizing the professional experience of the individuals filling the key positions.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Position Descriptions and Resumes") for all attachments. Also, OJP recommends that applicants include resumes in a single file.

Information to Complete the Application for Federal Assistance (SF-424)
 The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and OJP's Grants

Management System (GMS) take information from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable).

2. Project Abstract

Applications should include a high-quality project abstract that summarizes the proposed project in 400 words or less. Project abstracts should—

- include legal name of the grant recipient and the title of the project;
- be written for a general public audience.
- be submitted as a separate attachment with "Project Abstract" as part of its file name.
- be single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

All project abstracts should follow the detailed template available at www.ojp.usdoj.gov/funding/Project_Abstract_Template.pdf. OJP suggests that the abstract be submitted as a Word document.

3. Program Narrative

The program narrative should respond to the solicitation and the Selection Criteria (1-4) in the order given. The program narrative should be double-spaced, using a standard 12-point font (Times New Roman is preferred) with no less than 1-inch margins, and should not exceed 15 pages. Number pages "1 of 15," "2 of 15," etc.

If the program narrative fails to comply with these length-related restrictions, BJA may consider such noncompliance in peer review and in final award decisions.

The following sections should be included as part of the program narrative. See "Selection Criteria" at page 13 for more information about what each section should include.

- a. Statement of the Problem
- b. Project Design and Implementation
- c. Capabilities and Competencies
- d. Plan for Collecting the Data Required for this Solicitation's Performance Measures BJA not require applicants to submit performance measures data with their application. Performance measures are included as an alert that BJA will require successful applicants to submit specific data as part of their reporting requirements. For the application, applicants should indicate an understanding of these requirements and discuss how they will gather the required data, should they receive funding.

4. Budget Detail Worksheet and Budget Narrative

a. Budget Detail Worksheet

A sample Budget Detail Worksheet can be found at www.ojp.gov/funding/forms/budget_detail.pdf. Applicants that submit their budget in a different format should include the budget categories listed in the sample budget worksheet.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at www.ojp.usdoj.gov/financialguide/index.htm.

b. Budget Narrative

The budget narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Applicants should demonstrate in their budget narratives how they will maximize cost effectiveness of grant expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs, without compromising quality.

The narrative should be mathematically sound and correspond with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated <u>all</u> costs, and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

c. Non-Competitive Procurement Contracts In Excess of Simplified Acquisition Threshold

If an applicant proposes to make one or more non-competitive procurements of products or services, where the non-competitive procurement will exceed the simplified acquisition threshold (also known as the small purchase threshold), which is currently set at \$150,000, the application should address the considerations outlined in the OJP Financial Guide.

5. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) Attach a copy of the federally approved indirect cost rate agreement to the application. Applicants that do not have an approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the applicant organization, or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. For assistance with identifying your cognizant agency, please contact the Customer Service Center at 1-800-458-0786 or at ask.ocfo@usdoj.gov. If DOJ is the cognizant federal agency, applicants may obtain information needed to submit an indirect cost rate proposal at www.oip.usdoj.gov/funding/pdfs/indirect costs.pdf.

6. Additional Attachments

- a. Project Timeline with each project goal, related objective, activity, expected completion date, and responsible person or organization.
- **b.** Position Descriptions for key positions and Resumes for personnel in those positions.

c. Applicant Disclosure of Pending Applications

Applicants are to disclose whether they have pending applications for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will subaward federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

- the federal or state funding agency
- the solicitation name/project name
- the point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202/000-0000; jane.doe@usdoj.gov
HHS/ Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name "Disclosure of Pending Applications," to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page (e.g., "[Applicant Name on SF-424] does not have pending applications submitted within the last 12 months for federally funded grants or subgrants (including cooperative agreements) that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation.").

d. Research and Evaluation Independence and Integrity

If a proposal involves research and/or evaluation, regardless of the proposal's other merits, in order to receive funds, the applicant must demonstrate research/evaluation independence, including appropriate safeguards to ensure research/evaluation

objectivity and integrity.

For purposes of this solicitation, research and evaluation independence and integrity pertains to ensuring that the design, conduct, or reporting of research and evaluation funded by BJA grants, cooperative agreements, or contracts will not be biased by any personal or financial conflict of interest on the part of the investigators responsible for the research and evaluation or on the part of the applicant organization. Conflicts can be either actual or apparent. Examples of potential investigator (or other personal) conflict situations may include those in which an investigator would be in a position to evaluate a spouse's work product (actual conflict), or an investigator would be in a position to evaluate the work of a former colleague (potential apparent conflict). With regard to potential organizational conflicts of interest, as one example, generally an organization could not be given a grant to evaluate a project if that organization had itself provided substantial prior technical assistance to that project, as the organization in such an instance would appear to be evaluating the effectiveness of its own prior work. The key is whether a reasonable person understanding all of the facts would be able to have confidence that the results of any research or evaluation project are objective and reliable. Any outside personal or financial interest that casts doubt on that objectivity and reliability is a problem.

In the attachment dealing with research and evaluation independence and integrity, the applicant should explain the process and procedures that the applicant has put in place to identify and eliminate (or, at the very least, mitigate) potential personal or financial conflicts of interest on the part of its staff, consultants, and/or subrecipients. It should also identify any potential organizational conflicts of interest on the part of the applicant with regard to the proposed research/evaluation. If the applicant reasonably believes that no potential personal or organizational conflicts of interest exist, then the applicant should provide a brief narrative explanation of how and why it reached that conclusion. Documentation that may be helpful in this regard could include organizational codes of ethics/conduct or policies regarding organizational, personal, and financial conflicts of interest.

For situations in which potential personal or organizational conflicts of interest exist, in the attachment, the applicant should identify the safeguards the applicant has or will put in place to eliminate, mitigate, or otherwise address those conflicts of interest.

Considerations in assessing research and evaluation independence and integrity will include, but may not be limited to, the adequacy of the applicant's efforts to identify factors that could affect the objectivity or integrity of the proposed staff and/or the organization in carrying out the research, development, or evaluation activity; and the adequacy of the applicant's existing or proposed remedies to control any such factors.

7. Accounting System and Financial Capability Questionnaire

Any applicant (other than an individual) that is a non-governmental entity and that has not received any award from OJP within the past 3 years must download, complete, and submit this form.

Selection Criteria

1. Statement of the Problem (15 percent)

- Describe the current state of and historical trends in the federal corrections population including factors known to drive the growth in the federal prison system, options to avert continued growth, measures to reduce crowding, and the availability of diversion options.
- Describe recidivism-reduction programs and strategies currently in use in BOP facilities.
- Describe the availability and use of data at the federal level to guide decisionmaking with regard to criminal justice system funding and resource allocation.
- Describe lessons learned from state-level justice reinvestment efforts and the extent of their applicability to the federal corrections system.

2. Project Design and Implementation (35 percent)

- Address in detail how the applicant proposes to undertake and accomplish each of the
 objectives and deliverables outlined in Project-Specific Information on page 5. The
 project design should specifically address how applicants propose to create the task
 force and how the task force will operate, including the number of meetings and how it
 will engage external stakeholders.
- Describe how the proposed management structure and staffing of the project will
 facilitate the delivery of the required services. The management and organizational
 structure described should match the staffing needs necessary to accomplish the tasks.
 Detailed information contained in the project timeline, and the job descriptions and
 resumes of key project staff, will contribute significantly to the assigning of points relative
 to this criterion.

3. Capabilities and Competencies (35 percent)

- Describe experience with justice reinvestment and other similar strategies, including technical assistance to policymakers conducting data analysis and examining cost effectiveness of corrections spending, drivers of incarceration rates and populations, inprison violence, risk assessment, reentry, and criminal justice research involving highperforming programs in the areas of pretrial, sentencing, courts, prisons, behavioral health, community supervision, and other alternatives to incarceration.
- Describe experience with the federal criminal justice system, including prosecution, sentencing, prison, community supervision, and reentry.

4. Plan for Collecting the Data Required for this Solicitation's Performance Measures (5 percent)

 Describe the process for measuring project performance. Identify who will collect the data, who is responsible for performance measurement, and how the information will be used to guide and assess the program.

5. Budget (10 percent)

- Budget narratives should generally demonstrate how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.⁵
- The budget should include travel and accommodations for the nine-member panel to attend at least four convenings of the task force.

Review Process

OJP is committed to ensuring a fair and open process for awarding grants. BJA reviews the application to make sure that the proposal is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. Due to the short timeframe allotted by Congress for selecting a technical assistance provider to support the task force, BJA will use internal peer reviewers to review the applications. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer, in consultation with BJA reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of applicants, examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding when making awards.

Additional Requirements

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at www.ojp.usdoj.gov/funding/other_requirements.htm.

Civil Rights Compliance

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⁵ Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- Reporting of Potential Fraud, Waste, and Abuse, and Similar Misconduct
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide
- Suspension or Termination of Funding
- Non-profit Organizations
- For-profit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Active SAM Registration
- Policy and Guidance for Approval, Planning, and Reporting of Conferences (including Meetings and Trainings)
- OJP Training Guiding Principles for Grantees and Subgrantees

How To Apply

Applicants must register in, and submit applications through Grants.gov, a "one-stop storefront" to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at www.Grants.gov. Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at 800-518-4726 or 606–545–5035, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, processing delays may occur, and it can take several weeks for first-time registrants to receive confirmation and a user password. OJP encourages applicants to register several weeks before the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

BJA strongly encourages all prospective applicants to sign up for Grants.gov email notifications regarding this solicitation. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for updates will be notified.

Note on File Names and File Types: Grants.gov only permits the use of certain specific characters in names of attachment files. Valid file names may include only the characters shown in the table below. Grants.gov is designed to reject any application that includes an attachment(s) with a file name that contains any characters not shown in the table below. Grants.gov is designed to forward successfully submitted applications to OJP's Grants Management System (GMS).

Characters		Special Characters		
Upper case (A – Z)	Parenthesis ()	Curly braces { }	Square brackets []	
Lower case (a – z)	Ampersand (&)	Tilde (~)	Exclamation point (!)	
Number 0 – 9	Comma (,)	Semicolon (;)	Apostrophe (')	
Underscore ()	At sign (@)	Number sign (#)	Dollar sign (\$)	
Hyphen (-)	Percent sign (%)	Plus sign (+)	Equal sign (=)	
Space	When using the ampersand (&) in XML, applicants must use the "&"			
Period (.)	format.			

GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." GMS may reject applications with files that use these extensions. It is important to allow time to change the type of file(s) if the application is rejected.

All applicants are required to complete the following steps:

1. Acquire a Data Universal Numbering System (DUNS) number. In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their applications for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free,

- one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at www.dnb.com. A DUNS number is usually received within 1-2 business days.
- 2. Acquire registration with the System for Award Management (SAM). SAM is the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires all applicants (other than individuals) for federal financial assistance to maintain current registrations in the SAM database. Applicants must be registered in SAM to successfully register in Grants.gov. Applicants must update or renew their SAM registration annually to maintain an active status.

Applications cannot be successfully submitted in Grants.gov until Grants.gov receives the SAM registration information. The information transfer from SAM to Grants.gov can take up to 48 hours. OJP recommends that the applicant register or renew registration with SAM as early as possible.

Information about SAM registration procedures can be accessed at www.sam.gov.

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. The applicant organization's DUNS number must be used to complete this step. For more information about the registration process, go to www.grants.gov/web/grants/register.html.
- **4.** Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. Note that an organization can have more than one AOR.
- 5. Search for the funding opportunity on Grants.gov. Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.751, titled 'Edward Byrne Memorial Competitive Grant Program," and the funding opportunity number is BJA-2014-3786.
- 6. Complete the Disclosure of Lobbying Activities. All applicants must complete this information. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form *Disclosure of Lobbying Activities* (SF-LLL). Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Submit a valid application consistent with this solicitation by following the directions in Grants.gov. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The message will state whether the application has been received and validated, or rejected due to errors, with an explanation. It is possible to first receive a message indicating that the application is received and then receive a rejection notice a few minutes or hours later. Submitting well ahead of the deadline provides time to correct the problem(s) that caused the rejection. Important: OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

Click <u>here</u> for further details on DUNS, SAM, and Grants.gov registration steps and timeframes.

Note: Duplicate Applications

If an applicant submits multiple versions of an application, BJA will review only the most recent valid version submitted.

Experiencing Unforeseen Grants.gov Technical Issues

Applicants that experience unforeseen Grants.gov technical issues beyond their control that prevent them from submitting their application by the deadline must e-mail the BJA contact identified in the Contact Information section on pages 1-2 within 24 hours after the application deadline and request approval to submit their application. The e-mail must describe the technical difficulties, and include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s). Note: BJA does not automatically approve requests. After the program office reviews the submission, and contacts the Grants.gov or SAM Help Desks to validate the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If OJP determines that the applicant failed to follow all required procedures, which resulted in an untimely application submission, OJP will deny the applicant's request to submit their application.

The following conditions are generally insufficient to justify late submissions:

- failure to register in SAM or Grants.gov in sufficient time
- failure to follow Grants.gov instructions on how to register and apply as posted on its Web site
- failure to follow each instruction in the OJP solicitation
- technical issues with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding web page at www.ojp.usdoj.gov/funding/solicitations.htm.

Provide Feedback to OJP

To assist OJP in improving its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Provide feedback to OJPSolicitationFeedback@usdoj.gov.

IMPORTANT: This e-mail is for feedback and suggestions only. Replies are **not** sent from this mailbox. If you have specific questions on any program or technical aspect of the solicitation, **you must** directly contact the appropriate number or e-mail listed on the front of this solicitation document. These contacts are provided to help ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, please e-mail your resume to ojppeerreview@lmbps.com. The OJP Solicitation Feedback email account will not forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

Application Checklist

FY 2014 Charles Colson Task Force on Federal Corrections

This application checklist has been created to assist in developing an application.

What an Applicant Should Do:
Prior to Registering in Grants.gov:
Acquire a DUNs Number (see page 16)
Acquire or renew registration with SAM (see page 17)
To Register with Grants.gov.
Acquire AOR and Grants.gov username/password (see page 17)
Acquire AOR confirmation from the E-Biz POC (see page 17)
To Find Funding Opportunity:
Search for the funding opportunity on Grants.gov (see page 17)
Download Funding Opportunity and Application Package (see page 17)
Sign up for Grants.gov email notifications (optional) (see page 16)
Read Important Notice: Applying for Grants in Grants.gov
General Requirements:
Review "Other Requirements" web page
Scope Requirement:
The federal amount requested is within the allowable limit of \$1,000,000.
Eligibility Requirement:
Applicant(s) meet the eligibility requirements listed on the title page.
What an Application Should Include:
Application for Federal Assistance (SF-424) (see page 8)
Abstract (see page 9)
*Program Narrative (see page 9)
*Budget Detail Worksheet and Budget Narrative (see page 10)
Employee Compensation Waiver request and justification (if applicable) (see
page 7)
Read OJP policy and guidance on "conference" approval, planning, and reporting
available at www.ojp.gov/funding/confcost.htm (see page 7)
Disclosure of Lobbying Activities (SF-LLL) (see page 17)
Indirect Cost Rate Agreement (if applicable) (see page 10)
Additional Attachments
Project Timeline (see page 11)
*Position Descriptions and Resumes of Key Personnel (see page 11)
Applicant Disclosure of Pending Applications (see page 11)
Research and Evaluation Independence and Integrity (see page 11)
Accounting System and Financial Capability Questionnaire (if applicable) (see page 12)

^{*}These elements are the basic minimum requirements for applications. Applications that do not include these elements shall neither proceed to peer review nor receive further consideration by BJA.