

**U.S. Department of Justice**  
Office of Justice Programs  
*Bureau of Justice Assistance*



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## **Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants FY 2019 Competitive Grant Solicitation**

**CFDA:** #16.738

**Grants.gov Solicitation Number:** BJA-2019-16289

**Solicitation Release Date:** April 30, 2019

**Application Deadline:** 11:59 p.m. eastern time on July 2, 2019

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The U.S. Department of Justice (DOJ), Office of Justice Programs (OJP), [Bureau of Justice Assistance](#) (BJA) is seeking applications for funding under Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants, which provide funding to rural law enforcement agencies along the southwest border of the United States, to support efforts to reduce violent crime.

This solicitation incorporates the [OJP Grant Application Resource Guide](#) by reference. It provides guidance to applicants on how to prepare and submit applications for funding to OJP. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

**Eligibility** (Who may apply):

The eligible applicants for this solicitation are limited to the following entities within California, New Mexico, Arizona, and Texas:

- County sheriff's offices of any size
- Rural law enforcement agencies (excluding county sheriff's offices) serving jurisdictions with populations of fewer than 100,000
- Federally recognized Indian tribal governments (as determined by the Secretary of the Interior)

Priority consideration will be given to applicants that represent jurisdictions located within 25 miles of the border between the United States and Mexico.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

BJA will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as

subrecipients (“subgrantees”). BJA will not fund separate applications from different agencies within the same jurisdiction under this solicitation (i.e., a law enforcement agency and prosecutor agency within the same jurisdiction). In these cases, BJA encourages these agencies to form task force partnerships and submit one application for the entire jurisdiction. The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing the entire project. Under this solicitation, only one application by any particular applicant entity will be considered. An entity may, however, be proposed as a subrecipient (“subgrantee”) in more than one application. For additional information on subawards, see the [OJP Grant Application Resource Guide](#).

BJA may elect to fund applications submitted under this FY 2019 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and on the availability of appropriations.

### **Contact information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, at <https://www.grants.gov/web/grants/support.html>, or at [support@grants.gov](mailto:support@grants.gov). The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the contact identified below **within 24 hours after the application deadline** to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under “Experiencing Unforeseen Grants.gov Technical Issues” in the How To Apply (Grants.gov) section in the [OJP Grant Application Resource Guide](#).

For assistance with any unforeseen Grants.gov technical issues beyond an applicant’s control that prevent it from submitting its application by the deadline, or any other requirement of this solicitation, contact the National Criminal Justice Reference Service (NCJRS) Response Center: toll-free at 800–851–3420; via TTY at 301–240–6310 (hearing impaired only); email [grants@ncjrs.gov](mailto:grants@ncjrs.gov); fax to 301–240–5830; or web chat at <https://webcontact.ncjrs.gov/ncjchat/chat.jsp>. The NCJRS Response Center hours of operation are 10:00 a.m. to 6:00 p.m. eastern time, Monday through Friday, and 10:00 a.m. to 8:00 p.m. eastern time on the solicitation close date.

### **Post-Award Legal Requirements Notice**

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations, including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance. OJP strongly encourages applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application.

For additional information on these legal requirements, see the Administrative, National Policy, and Other Legal Requirements section in the [OJP Grant Application Resource Guide](#).

## Deadline Details

Applicants must register with Grants.gov at <https://www.grants.gov/web/grants/register.html> prior to submitting an application. All applications are due by 11:59 p.m. eastern time on July 2, 2019.

To be considered punctual, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date, to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

An applicant must use the **Add Attachment** button to attach a file to its application. Do not click the paperclip icon to attach files. This action will not attach the files to the application. After adding an attachment, select the **View Attachment** button to confirm you attached the correct file. To remove the file, select the **Delete Attachment** button.

OJP encourages all applicants to read this Important Notice: Applying for Grants in Grants.gov.

For additional information, see the How to Apply (Grants.gov) section in the [OJP Grant Application Resource Guide](#).

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# **SOUTHWEST BORDER RURAL LAW ENFORCEMENT INFORMATION SHARING AND INTERDICTION ASSISTANCE GRANTS CFDA # (16.738)**

## **A. Program Description**

### **Overview**

The BJA Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants are an innovative new program designed to provide resources and assistance to fund rural law enforcement agencies along or near the southwest border of the United States to support their efforts to reduce violent crime, including drug-related crime and human trafficking.

**Statutory Authority:** Any awards under this solicitation will be made under the Consolidated Appropriations Act, 2019 Pub. L. No. 116-6, 133 Stat 13, 118, and under the “reserved funds” section (34 U.S.C. § 10157(b)(1)) of the Edward Byrne Memorial Justice Assistance Grant (JAG) Program. The “reserved funds” section provides that up to 5 percent of the funds available to carry out the JAG Program may be granted for one or more of the purposes specified at 34 USC § 10152 upon a determination that such a grant is necessary “to combat, address, or otherwise respond to precipitous or extraordinary increases in crime, or in a type or types of crime.”

### **Program-Specific Information**

The Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants will provide support to sheriffs and rural law enforcement agencies along or near the southwest border to address precipitous increases of all types of crime unique to this region, including human trafficking, sexual assaults, drug trafficking, and other forms of violent crime. These grants are designed to enhance information sharing (including investigatory and intelligence data) and dedicated messaging capability among federal, state, local, and tribal agencies working along or near the southwest border, and to provide previously identified specialized equipment to address crime issues that may be unique to the designated area.

Applications will be accepted from county sheriff’s offices, rural law enforcement agencies serving jurisdictions with populations of fewer than 100,000, and tribal law enforcement agencies from the four southwest border states (Arizona, California, New Mexico, and Texas). Priority consideration will be given to projects submitted by jurisdictions located within 25 miles of the U.S.–Mexico border.

Applications may feature methods to improve data collection along the southwest border; the sharing of information and intelligence; use of rapid DNA analysis; drug trafficking interdiction, to include opioids and human trafficker interdiction; support for or creation of regional real-time crime centers (RTCCs) or intelligence center resources augmenting officer protection; and the deployment of new interdiction teams or sustainment of existing ones. Proposals are not limited

to the projects listed above; however, BJA is broadly interested in projects that address local crime problems, especially those related to violent crime. Applicants should clearly articulate how their proposals will reduce crime in their areas, especially violent crime. Applicants will also need to identify how award funding would address a precipitous or extraordinary increase in crime(s) or in a type or types of crime in their jurisdictions, and submit documentation of local crime statistics for a 2-year period. BJA is also interested in projects that would improve communication and collaboration among federal, state, local, and tribal agencies, to include those other than law enforcement.

### **Objectives and Deliverables**

**Objective:** Identify projects in the southwest border states that will address documented violent crime; drug trafficking; sexual assaults, to include crimes against children; and human trafficking through innovation, technology, or analytics.

**Deliverable:** The applicant will articulate how the proposed project will address violent crime and, once implemented, provide statistical documentation on the project's impact on crime in the project's jurisdiction and adjacent areas. These statistics will be submitted as part of the successful applicant's bi-annual report submitted in BJA's Grant Management System (GMS).

**Objective:** To improve information and intelligence sharing with adjacent jurisdictions and those throughout the southwest border states. This could include the support of an established or development of a new RTCC, intelligence center, or regional platforms.

**Deliverables:** The applicant will document how the proposed project will improve communications, cooperation, collaboration, and information sharing among jurisdictions. This will include sharing data on current crime trends, analysis of intelligence, and utilization of interdiction teams where applicable. This effort should also identify crime trends and issues that may be unique to southwest border states and how the project will address them.

Applicants should outline in their proposals a strategic and comprehensive process to achieve the objectives and generate the deliverables that are described above.

The Objectives and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under [What an Application Should Include](#).

### **Evidence-Based Programs or Practices**

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the [OJP Grant Application Resource Guide](#).

### **Information Regarding Potential Evaluation of Programs and Activities**

Applicants should note OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the [OJP Grant Application Resource Guide](#) section, entitled, "Information Regarding Potential Evaluation of Programs and Activities."

## B. Federal Award Information

Maximum number of awards BJA expects to make	10 awards
Estimated maximum dollar amount for each award	\$200,000
Total amount anticipated to be awarded	\$2,000,000
Period of performance start date	October 1, 2019
Period of performance duration	24 months

BJA may, in certain cases, provide additional funding in future years to awards made under this solicitation, through continuation awards. In making decisions regarding continuation awards, OJP will consider, among other factors, the availability of appropriations, when the program or project was last competed, OJP's strategic priorities, and OJP's assessment of both the management of the award (for example, timeliness and quality of progress reports) and the progress of the work funded under the award.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

### Type of Award

BJA expects to make any award under this solicitation in the form of a grant. See the Administrative, National Policy, and Other Legal Requirements section of the [OJP Grant Application Resource Guide](#) for important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants (and cooperative agreements).

### Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements<sup>1</sup> as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the [OJP Grant Application Resource Guide](#) for additional information.

### Budget Information

#### Cost Sharing or Match Requirement

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

#### Pre-agreement Costs (also known as Pre-award Costs)

Pre-agreement costs are costs incurred by the applicant prior to the start date of the period of performance of the federal award.

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<sup>1</sup> The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200.

OJP does **not** typically approve pre-agreement costs; an applicant must request and obtain the prior written approval of OJP for all such costs. All such costs incurred prior to award and prior to approval of the costs are incurred at the sole risk of the applicant. (Generally, no applicant should incur project costs **before** submitting an application requesting federal funding for those costs.) Should there be extenuating circumstances that make it appropriate for OJP to consider approving pre-agreement costs, the applicant may contact the point of contact listed on the title page of the solicitation for the requirements concerning written requests for approval. If approved in advance by OJP, award funds may be used for pre-agreement costs, consistent with the recipient's approved budget and applicable cost principles. See the section titled Costs Requiring Prior Approval in the DOJ Grants Financial Guide (Post-award Requirements) at <https://ojp.gov/financialguide/DOJ/index.htm> for more information.

#### **Limitation on Use of Award Funds for Employee Compensation; Waiver**

For applicants seeking the waiver, see the [OJP Grant Application Resource Guide](#) for information.

#### **Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs**

OJP strongly encourages every applicant that proposes to use award funds for any conference-, meeting-, or training-related activity (or similar event) to review carefully—before submitting an application—the OJP and DOJ policy and guidance on approval, planning, and reporting of such events. See the [OJP Grant Application Resource Guide](#) for information.

#### **Costs Associated With Language Assistance (if applicable)**

See the [OJP Grant Application Resource Guide](#) for information on costs associated with language assistance that may be allowable.

### **C. Eligibility Information**

For eligibility information, see title page. For information on cost sharing or match requirements, see [Section B. Federal Award Information](#).

### **D. Application and Submission Information**

#### **What an Application Should Include**

For this solicitation, BJA has designated the following application elements as critical: Program Abstract, Program Narrative, Budget Detail Worksheet and Budget Narrative, Timelines, and copies of any memorandum of understanding or cooperative agreement for agencies working as part of the task force identified in the application.

See the Application Elements and Formatting Instructions section of the [OJP Grant Application Resource Guide](#) for information on, among other things, what happens to an application that does not contain all of the specified elements or that is nonresponsive to the scope of the solicitation.

#### **1. Application for Federal Assistance (Standard Form (SF)-424)**

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. See the [OJP Grant Application Resource Guide](#) for additional information on completing the SF-424.



**Intergovernmental Review:** This solicitation ("funding opportunity") is subject to [Executive Order 12372](#). An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: [https://www.whitehouse.gov/wp-content/uploads/2017/11/Intergovernmental\\_-Review-\\_SPOC\\_01\\_2018\\_OFFM.pdf](https://www.whitehouse.gov/wp-content/uploads/2017/11/Intergovernmental_-Review-_SPOC_01_2018_OFFM.pdf). If the applicant's State appears on the SPOC list, the applicant must contact the State SPOC to find obtain information about and comply with the State's process under E.O. 12372. In completing the SF-424, an applicant whose State appears on the SPOC list is to make the appropriate selection in response to question 19 once the applicant has complied with its State E.O. 12372 process. (An applicant whose State does not appear on the SPOC list should answer question 19 by selecting the response that the: "Program is subject to E.O. 12372, but has not been selected by the State for review.").

## **2. Project Abstract**

Applications should include an abstract that summarizes the proposed project in 400 words or fewer. Project abstracts should be:

- Written for a general public audience.
- Submitted as a separate attachment with "Project Abstract" as part of its file name.
- Single-spaced, using a standard 12-point font (such as Times New Roman) with 1-inch margins.

As a separate attachment, the project abstract will not count against the page limit for the program narrative.

## **3. Program Narrative**

The program narrative must respond to the Review Criteria in the order given. Applications are peer reviewed and scored on answers to the Review Criteria. The program narrative should be double-spaced, using a standard 12-point font (Times New Roman is preferred) with 1-inch margins, and should not exceed 10 pages. Number pages "1 of 10," "2 of 10," etc.

If the program narrative fails to comply with these length restrictions, BJA may consider such noncompliance in peer review and in final award decisions.

The following sections should be included as part of the program narrative:

- a. Description of the Project/Initiative and Issues To Be Addressed
- b. Project/Initiative Design and Implementation
- c. Capabilities and Competencies
- d. Plan for Collecting the Data Required for this Solicitation's Performance Measures

OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the objectives and deliverables identified under "Objectives and Deliverables" in [Section A. Program Description](#).

Applicants should visit OJP's performance measurement page at [www.ojp.gov/performance](http://www.ojp.gov/performance) for an overview of performance measurement activities at OJP.

Performance measures for this solicitation are listed in [Appendix B: Performance Measures Table](#).

The application should demonstrate the applicant's understanding of the performance data reporting requirements for this grant program and detail how the applicant will gather the required data.

Please note that applicants are **not** required to submit performance data with the application. Performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

Award recipients will be required to provide the relevant data by submitting bi-annual performance metrics as part of their progress reports in GMS.

#### **Note on Project Evaluations**

An applicant that proposes to use award funds through this solicitation to conduct project evaluations should follow the guidance under Note on Project Evaluations in the [OJP Grant Application Resource Guide](#).

#### **4. Budget Information and Associated Documentation**

See the Budget Preparation and Submission Information section of the [OJP Grant Application Resource Guide](#) for details on the Budget Detail Worksheet, and on budget information and associated documentation, such as information on proposed subawards, proposed procurement contracts under awards, and preagreement costs.

#### **5. Indirect Cost Rate Agreement**

See the Budget Preparation and Submission Information section of the [OJP Grant Application Resource Guide](#) for information.

#### **6. Tribal Authorizing Resolution (if applicable)**

An application in response to this solicitation may require inclusion of information related to a tribal authorizing resolution. See the [OJP Grant Application Resource Guide](#) for information on tribal authorizing resolutions.

#### **7. Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)**

Every OJP applicant (other than an individual applying in his or her personal capacity) is required to download, complete, and submit the OJP Financial Management and System of Internal Controls Questionnaire (Questionnaire) at <https://ojp.gov/funding/Apply/Resources/FinancialCapability.pdf> as part of its application. See the [OJP Grant Application Resource Guide](#) for additional information and submission instructions for this Questionnaire.

## 8. Disclosure of Lobbying Activities

Each applicant must complete and submit this information. See the [OJP Grant Application Resource Guide](#) for additional information and submission instructions for this disclosure.

## 9. Applicant Disclosure of Pending Applications

Each applicant is required to disclose whether it has (or is proposed as a subrecipient under) any pending applications for federally funded grants or cooperative agreements that (1) include requests for funding to support the same project being proposed in the application under this solicitation and (2) would cover any identical cost items outlined in the budget submitted to OJP as part of the application under this solicitation. For additional information on the submission requirements for this disclosure, see the [OJP Grant Application Resource Guide](#).

## 10. Applicant Disclosure and Justification – DOJ High Risk Grantees<sup>2</sup> (if applicable)

An applicant that is designated as a DOJ High Risk Grantee is to submit in GMS, as a separate attachment to its application, information that OJP will use, among other pertinent information, to determine whether it will consider or select the application for an award under this solicitation. The file should be named “DOJ High Risk Grantee Applicant Disclosure and Justification.” (See, also, “Review Process,” below, under Section E. Application Review Information, for a brief discussion of how such information may be considered in the application review process.) See the [OJP Grant Application Resource Guide](#) for additional information and submission instructions for this disclosure.

## 11. Research and Evaluation Independence and Integrity

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. For additional information regarding demonstrating research/evaluation independence and integrity, including appropriate safeguards, see the [OJP Grant Application Resource Guide](#).

## 12. Additional Attachments

### a. Information regarding Communication with the Department of Homeland Security (DHS) and/or Immigration and Customs Enforcement (ICE)

Each applicant must provide responses to the following questions as an attachment to the application:

- (1) Does your jurisdiction have any laws, policies, or practices related to whether, when, or how employees may communicate with DHS or ICE?
- (2) Is your jurisdiction subject to any laws from a superior political entity (e.g., a state law that binds a city) that meet the description in question 1?
- (3) If yes to either:
  - Please provide a copy of each law or policy.
  - Please describe each practice.
  - Please explain how the law, policy, or practice complies with 8 U.S.C. § 1373.

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<sup>2</sup> A “DOJ High Risk Grantee” is a recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

Note: Responses to these questions must be provided by the applicant as part of the application. Further, the requirement to provide this information applies to all tiers of funding and for all subawards made to state or local government entities, including public institutions of higher education. All subrecipient responses must be collected and maintained by the direct recipient of funding and must be made available to DOJ upon request. Responses to these questions are not required from subrecipients that are either a tribal government/organization, a nonprofit organization, or a private institution of higher education.

OJP will not deny an application for an FY 2019 award for failure to submit these required responses by the application deadline, but a recipient will not receive award funds (and its award will include a condition that withholds funds) until it submits these responses.

**b. Letter Affirming Memorandum of Understanding or Inter-Local Agreement (if applicable)**

Applicants can attach a letter addressed to the BJA Director affirming any memorandums of understanding or any other local agreements that may exist between agencies working on the program or project under this application.

**c. Timeline**

Include a comprehensive timeline that identifies milestones, numerically listed deliverables, and who is responsible for each activity (provide title and agency). The task force's regularly scheduled quarterly meeting must be reflected on the timeline.

**d. Letters of Support or Collaboration**

Include letters of support or collaboration from relevant state and local law enforcement agencies and federal agencies (to include, where appropriate, local offices of the Federal Bureau of Investigation (FBI), U.S. Immigration and Customs Enforcement (ICE) Homeland Security Investigations, and U.S. Attorneys). ***Any letters of support or collaboration must be part of the application package; no independent letters will be accepted after the application has been submitted.***

**How To Apply (Grants.gov)**

Applicants must register in and submit applications through [Grants.gov](https://www.grants.gov), a primary source to find federal funding opportunities and apply for funding. Find information on how to apply in response to this solicitation in the [OJP Grant Application Resource Guide](#).

**Registration and Submission Steps**

Applicants will need the following identifying information when searching for the funding opportunity on Grants.gov.

**1. CFDA #16.738 Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants**

**2. Funding opportunity #BJA-2019-16289**

For information on each registration and submission step, see the [OJP Grant Application Resource Guide](#).

## E. Application Review Information

### Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria.

**1. Description of the Project/Initiative and Issues (10 percent)**

Identify the precise purpose of the particular project and the crimes that it will address. Provide a review of specific data on current needs of the applying law enforcement and criminal justice agencies, and demonstrate how these needs can be met through this project.

**2. Project/Initiative Design and Implementation (30 percent)**

Fully describe how the project may reduce violent crimes, how it will improve information sharing, increase cooperation and collaboration, and who is responsible for each activity (provide title and agency).

**3. Capabilities and Competencies (25 percent)**

Fully describe the applicant's capabilities to implement the project/initiative submitted and the competencies of the staff assigned to it or who oversee the project. Identify personnel who are critical to the project's successful implementation and discuss their roles, responsibilities, and qualifications. Discuss organizational capabilities or competencies that will directly impact the ability to successfully implement the proposed enforcement project.

**4. Impact/Outcomes – Plan for Collecting the Data Required for this Solicitation's Performance Measures (10 percent)**

Explain how this project's effectiveness will be demonstrated. Describe how performance measure data will be collected, who will collect and report the data, how the data will be stored, and how they will be assessed to measure the impact of proposed efforts. Describe how performance data will be shared with customers and stakeholders.

**5. Sustainment (15 percent)**

Outline a strategy for sustaining the project and the proposed work described in the application after the federal grant ends. This would include enforcement initiatives, continued training, and public awareness efforts.

**6. Budget (10 percent)**

Provide a budget for the entire project period that is complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate generally how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the objectives of the project.<sup>3</sup> The funds awarded under this solicitation may not be used for land acquisition or construction projects, and

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<sup>3</sup> Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

may not be used to provide any security enhancements or any equipment to any non-governmental entity that is not engaged in law enforcement or law enforcement support, criminal or juvenile justice, or delinquency prevention.

### **Review Process**

OJP is committed to ensuring a fair and open process for making awards. BJA reviews an application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation requirements. See the [OJP Grant Application Resource Guide](#) for information on the application review process for this solicitation.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the nonpublic segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

**Important note on FAPIIS:** An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and BJA recommendations, but also other factors as indicated in this section.

## **F. Federal Award Administration Information**

### **Federal Award Notices**

See the [OJP Grant Application Resource Guide](#) for information on award notifications and instructions.

### **Administrative, National Policy, and Other Legal Requirements**

OJP strongly encourages prospective applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application. See the [OJP Grant Application Resource Guide](#) for additional information on administrative, national policy, and other legal requirements.

### **Statutory and Regulatory Requirements; Award Special Conditions**

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with award conditions, as well as all applicable requirements of federal statutes and regulations. OJP strongly encourages prospective

applicants to review information on post-award legal requirements and common OJP award conditions prior to submitting an application.

Individual FY 2019 awards made pursuant to this solicitation will, as appropriate and to the extent consistent with law, include conditions that will require the recipient (and any subrecipient) that accepts the award to do various things, with respect to the “program or activity” that would receive federal financial assistance thereunder. Although the specific terms of each of those conditions are what will govern the awards, included among such conditions will be some that, generally speaking, will require the recipient (and any subrecipient) that accepts the award to do some or all of the following:

- Not to violate 8 U.S.C. § 1373 (prohibiting restrictions on— (1) communication to/from the Department of Homeland Security (“DHS”) of information regarding the citizenship or immigration status of any individual; and (2) maintaining, or exchanging with any government entity, information regarding the immigration status of any individual).
- Not to violate 8 U.S.C. § 1644 (prohibiting restrictions on communication to/from DHS of information regarding the immigration status of an alien).
- Not to publicly disclose federal law enforcement information in an attempt to conceal, harbor, or shield certain individuals from detection, including in violation of 18 U.S.C. §§ 1071 or 1072, or 8 U.S.C. § 1324(a).
- Not to impede the exercise of the authority of the federal government under 8 U.S.C. § 1266(a) & (c) (authorizing arrest and detention of certain aliens and providing that the federal government “shall take into custody” certain criminal aliens “when the alien is released”) and 8 U.S.C. § 1231(a)(4) (relating to removal from the United States of aliens after detention/confinement at the federal, state, and local level), specifically by requiring such recipients to provide (where feasible) at least 48 hours’ advance notice to DHS regarding the scheduled release date and time of an alien in the recipient’s custody when DHS requests such notice in order to take custody of the alien pursuant to the Immigration and Nationality Act.
- Not to impede the exercise by DHS agents, “anywhere in or outside the United States” (8 C.F.R. § 287.5(a)(1)), of their authority under 8 U.S.C. § 1357(a)(1) to “interrogate any alien or person believed to be an alien as to his right to be or to remain in the United States,” specifically by requiring such recipients to permit DHS agents to have access to any correctional facility in order to meet with an alien (or an individual believed to be an alien) and inquire as to his right to be or remain in the United States.

The reasonable costs (to the extent not reimbursed under any other federal program) of complying with these conditions, including honoring any duly authorized requests from DHS that is encompassed by these conditions, will be allowable costs under the award.

### **Information Technology (IT) Security Clauses**

An application in response to this solicitation may require inclusion of information related to information technology security. See the [OJP Grant Application Resource Guide](#) for information on information technology security.

### **General Information About Post-Federal Award Reporting Requirements**

In addition to the deliverables described in [Section A. Program Description](#), any recipient of an award under this solicitation will be required to submit certain reports and data.

Required reports. Recipients typically must submit quarterly financial reports, semi-annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

Data on performance measures. In addition to required reports, each award recipient also must provide data that measure the results of the work done under the award. To demonstrate program progress and success, as well as to assist DOJ in fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103–62, and the GPRA Modernization Act of 2010, Public Law 111–352, OJP will require any award recipient, post award, to provide performance data as part of regular progress reporting. Successful applicants will be required to access OJP’s performance measurement page at <https://ojp.gov/performance/> to view the specific reporting requirements for this grant program.

See the [OJP Grant Application Resource Guide](#) for additional information on specific post-award reporting requirements, including performance measures data.

## **G. Federal Awarding Agency Contact(s)**

For OJP contact(s), see page 2.

For contact information for Grants.gov, see page 2.

## **H. Other Information**

### **Freedom of Information and Privacy Act (5 U.S.C. 552 and U.S.C. 552a)**

All applications submitted to OJP (including all attachments to applications) are subject to the federal Freedom of Information Act (FOIA) and to the Privacy Act. See the [OJP Grant Application Resource Guide](#) for information on DOJ and OJP processes with regard to application information requested pursuant to FOIA.

### **Provide Feedback to OJP**

To assist OJP in improving its application and award processes, OJP encourages applicants to provide feedback on this solicitation, the application submission process, and/or the application review process. See the [OJP Grant Application Resource Guide](#) for information on providing solicitation feedback to OJP.



## Appendix A: Application Checklist

### FY 2019 Southwest Border Rural Law Enforcement Information Sharing and Interdiction Assistance Grants

This application checklist has been created as an aid in developing an application.

**What an Applicant Should Do:** See [OJP Grant Application Resource Guide](#)

#### *Prior to Registering in Grants.gov:*

\_\_\_\_\_ Acquire a DUNS Number (see the [OJP Grant Application Resource Guide](#))

\_\_\_\_\_ Acquire or renew registration with SAM (see the [OJP Grant Application Resource Guide](#))

#### *To Register with Grants.gov:*

\_\_\_\_\_ Acquire AOR and Grants.gov username/password (see the [OJP Grant Application Resource Guide](#))

\_\_\_\_\_ Acquire AOR confirmation from the E-Biz POC (see the [OJP Grant Application Resource Guide](#))

#### *To Find Funding Opportunity:*

\_\_\_\_\_ Search for the Funding Opportunity on Grants.gov (see page 12)

\_\_\_\_\_ Access Funding Opportunity and Application Package (see the [OJP Grant Application Resource Guide](#))

\_\_\_\_\_ Sign up for Grants.gov email [notifications](#) (optional) (see the [OJP Grant Application Resource Guide](#))

\_\_\_\_\_ Read [Important Notice: Applying for Grants in Grants.gov](#)

\_\_\_\_\_ Read OJP policy and guidance on conference approval, planning, and reporting available at [ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm](http://ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm)

#### *After Application Submission, Receive Grants.gov Email Notifications That:*

\_\_\_\_\_ (1) application has been received,

\_\_\_\_\_ (2) application has either been successfully validated or rejected with errors (see the [OJP Grant Application Resource Guide](#))

#### *If No Grants.gov Receipt, and Validation or Error Notifications are Received:*

\_\_\_\_\_ contact NCJRS regarding experiencing technical difficulties (see page 2)

#### **Overview of Post-Award Legal Requirements:**

\_\_\_\_\_ Review the "[Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards](#)" in the OJP Funding Resource Center at <https://ojp.gov/funding/index.htm>.

#### **Scope Requirement:**

\_\_\_\_\_ The federal amount requested is within the allowable limit of \$200,000.

**Eligibility Requirement:**

Eligible applicants are limited to the following entities within California, New Mexico, Arizona, and Texas: county sheriff's offices, rural law enforcement agencies serving jurisdictions with populations of fewer than 100,000, and federally recognized Indian tribal governments (as determined by the Secretary of the Interior). Priority consideration will be given to applicants that represent jurisdictions located within 25 miles of the border between the United States and Mexico.

**What an Application Should Include:**

The following items are critical application elements required to meet the basic minimum requirements. An application that OJP determines does not include the application elements designated to be critical will neither proceed to peer review nor receive consideration for funding.

Project Abstract, Project Narrative, Budget Detail Worksheet and Narrative, and a Timeline.

- \_\_\_\_\_ Application for Federal Assistance (SF-424) (see page 8)
- \_\_\_\_\_ Intergovernmental Review (see page 9)
- \_\_\_\_\_ Project Abstract (see page 9)
- \_\_\_\_\_ Program Narrative (see page 9)
- \_\_\_\_\_ Budget Detail Worksheet (including Narrative) (see page 10)
- \_\_\_\_\_ Indirect Cost Rate Agreement (if applicable) (see page 10)
- \_\_\_\_\_ Tribal Authorizing Resolution (if applicable) (see page 10)
- \_\_\_\_\_ Financial Management and System of Internal Controls Questionnaire (see page 10)
- \_\_\_\_\_ [Disclosure of Lobbying Activities \(SF-LLL\)](#) (see page 11)

**Additional Attachments**

- Applicant Disclosure of Pending Applications (see page 11)
- Applicant Disclosure and Justification – DOJ High Risk Grantees (if applicable) (see page 11)
- Research and Evaluation Independence and Integrity (see page 11)
- Request and Justification for Employee Compensation; Waiver (if applicable) (see page 8)
- Information regarding Communication with the Department of Homeland Security (DHS) and/or Immigration and Customs Enforcement (ICE) (see page 11)
- Letter Affirming Assurances and Relevant Legislation, and Memorandum of Understanding or Inter-Local Agreement (if applicable) (see page 12)
- Timeline (see page 12)
- Letters of Support, MOUs, etc. (see page 12)

(Note: any letters of support must be part of the application package, no Independent letters will be accepted after the application has been submitted.)

## Appendix B: Performance Measures Table

Objectives	Performance Measure	Data Grantee Provides
<p>Objective 1: Identify projects that will address documented violent crime, drugs, and human traffickers through innovation, technology, or analytics.</p>	<p>Deliverables that meet expectations as defined by BJA to include the type of strategy/project used to address violent crime, drugs, and human traffickers</p>	<ul style="list-style-type: none"> <li>• What are the strategy/project elements being implemented for your southwest border state (SWBS) program? <b>Answer Yes/No to all that apply</b> <ul style="list-style-type: none"> <li>○ Specialized units (Yes/No)</li> <li>○ Officer trainings (Yes/No)</li> <li>○ Place-based crime prevention/CPTED (Yes/No)</li> <li>○ Hot spot patrols (Yes/No)</li> <li>○ Technology (Yes/No)</li> <li>○ Community engagement (Yes/No)</li> <li>○ Collaborative Partnerships; <i>(Partnerships include sharing of information and meetings with outside agencies e.g. federal law enforcement, foreign law enforcement agencies)</i> (Yes/No)</li> <li>○ Economic development <i>(job creation, business development)</i> (Yes/No)</li> <li>○ Other community services <i>(e.g. adult education programs, mental health counseling or substance abuse programs)</i> (Yes/No)</li> <li>○ If other strategy/project elements, please describe.</li> </ul> </li> </ul>
	<p>Percent increase in the number of newly hired sworn staff</p> <p>Percent increase in the number of newly hired non-sworn staff</p>	<ul style="list-style-type: none"> <li>• Number of current sworn crime/intelligence analyst and support staff at your agency during the reporting period</li> <li>• Number of current non-sworn crime/intelligence analyst and support staff at your agency during the reporting period</li> <li>• During the reporting period, the number of <b><u>newly hired sworn</u></b> crime/intelligence analyst and support staff</li> <li>• During the reporting period, the number of <b><u>newly hired non-sworn</u></b> crime/intelligence analyst and support staff</li> </ul>

Objectives	Performance Measure	Data Grantee Provides
	Number of UCR Part 1 crimes	<ul style="list-style-type: none"> <li>• How many violent crimes/incidents occurred during the reporting period? <i>(In the FBI's Uniform Crime Reporting (UCR) Program, violent crime is composed of four offenses: murder and non-negligent manslaughter, forcible rape, robbery, and aggravated assault. Violent crimes are defined in the UCR Program as those offenses which involve force or threat of force)</i></li> <li>• How many drug-related crimes/incidents occurred during the reporting period? <i>(In the FBI's UCR Program, drug-related crimes are defined as state and/or local offenses relating to the unlawful possession, sale, use, growing, manufacturing, and making of narcotic drugs including opium or cocaine and their derivatives, marijuana, synthetic narcotics, and dangerous nonnarcotic drugs such as barbiturates.)</i></li> </ul>
	<p>Number of documented and/or reported drug related crimes</p> <p>Number of documented and/or reported human trafficking crimes</p> <p>Number of documented and/or reported sexual assaults</p>	<ul style="list-style-type: none"> <li>• How many human trafficking crimes/incidents occurred during the reporting period? <i>(In the FBI's UCR Program, human trafficking crimes are defined as <b>Human Trafficking/Commercial Sex Acts</b>: inducing a person by force, fraud, or coercion to participate in commercial sex acts, or in which the person induced to perform such act(s) has not attained 18 years of age. <b>Human Trafficking/Involuntary Servitude</b>: the obtaining of a person(s) through recruitment, harboring, transportation, or provision, and subjecting such persons by force, fraud, or coercion into involuntary servitude, peonage, debt bondage, or slavery (not to include commercial sex acts).)</i></li> <li>• How many sexual assault crimes/incidents (including children) occurred during the reporting period? <i>(In the FBI's UCR Program sexual assault is defined as offenses against chastity, common decency, morals, and the like)</i></li> </ul>
	Implementation of existing and new technology	<ul style="list-style-type: none"> <li>• Are you implementing or testing a new technology as part of your program during the reporting period? (Yes/No)</li> <li>• If yes, please explain the type of technology that your program is implementing or testing (e.g. data analysis software/hardware)</li> </ul>

Objectives	Performance Measure	Data Grantee Provides
	Crime rate	<ul style="list-style-type: none"> <li>• Since the beginning of your program, has it demonstrated an impact on the problem of focus? (Yes/No)</li> <li>• Please explain your response, using percentage and raw-number increases or decreases in crime</li> </ul>
	Data used to support your conclusion about your SWBS program's impact	<ul style="list-style-type: none"> <li>• What data did you use to support your conclusion about your SWBS program's impact? <b>Answer Yes/No to all that apply</b> <ul style="list-style-type: none"> <li>○ Offense/Incident reports (Yes/No)</li> <li>○ Calls for Service (Yes/No)</li> <li>○ Arrest reports (Yes/No)</li> <li>○ Socioeconomic data (includes health and human services data, school data, poverty data, and other community data) (Yes/No)</li> <li>○ Evidentiary or adjudication data (includes court data) (Yes/No)</li> <li>○ Corrections data (includes probation and parole data) (Yes/No)</li> <li>○ Juvenile data (Yes/No)</li> <li>○ Survey of officers (Yes/No)</li> <li>○ Survey of community members(Yes/No)</li> <li>○ Survey of victims (Yes/No)</li> <li>○ If other, please describe</li> </ul> </li> </ul>
Objective 2: Improve information and intelligence sharing throughout jurisdictions and southwest border states.	Systems developed to share information and intelligence	<ul style="list-style-type: none"> <li>• Were systems developed for sharing information during the reporting period? (<i>For the purpose of this question, a system is defined as the practice used to share information on program activity between agencies, service providers, stakeholders, or others</i>) (Yes/No)</li> <li>• Describe the information sharing system</li> <li>• Please answer for what purpose you have developed information-sharing systems during the reporting period. <b>Answer Yes/No to all that apply</b> <ul style="list-style-type: none"> <li>○ For sharing information within your law enforcement jurisdiction(Yes/No)</li> <li>○ For sharing information with adjacent law enforcement jurisdictions(Yes/No)</li> <li>○ For sharing information with the community/members/residents(Yes/No)</li> <li>○ For sharing information with other external stakeholders such as funders or elected officials(Yes/No)</li> <li>○ If other, please describe</li> </ul> </li> </ul>

Objectives	Performance Measure	Data Grantee Provides
	Number of new RTCCs and/or intelligence centers this reporting period	<ul style="list-style-type: none"> <li>• <i>RTCCs are technology centers that provide law enforcement agencies instant information to help identify patterns and stop emerging crime.</i></li> <li>• How many existing RTCCs and/or intelligence centers within your jurisdiction are there this reporting period?</li> <li>• How many RTCCs or intelligence centers were created within your jurisdiction during this reporting period?</li> </ul>
Narrative Questions	Accomplishments within the reporting period	<ul style="list-style-type: none"> <li>• What were your accomplishments within this reporting period?</li> </ul>
	Objectives accomplished	<ul style="list-style-type: none"> <li>• What objectives were accomplished as they relate to your grant application?</li> </ul>
	Problems/barriers encountered	<ul style="list-style-type: none"> <li>• What problems/barriers did you encounter, if any, within the reporting period that prevented you from reaching your objectives or milestones?</li> </ul>
	Assistance needed	<ul style="list-style-type: none"> <li>• Is there any assistance that BJA can provide to address any problems/barriers identified above? (Please answer Yes or No only.)</li> </ul>
	Program completion	<ul style="list-style-type: none"> <li>• Are you on track to fiscally and programmatically complete your program as outlined in your grant application? (Please answer Yes or No, if no please explain.)</li> </ul>
	Major activities	<ul style="list-style-type: none"> <li>• What major activities are planned for the next 6 months</li> </ul>
	Innovative programs/ accomplishments	<ul style="list-style-type: none"> <li>• Based on your knowledge of the criminal justice field, are there any innovative program/ accomplishments that you would like to share with BJA?</li> </ul>